

103292016001758



SECURITIES AND EXCHANGE COMMISSION

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Receipt Date and Time : March 29, 2016 03:04:58 PM
Received From : Head Office

Company Representative _____

Doc Source _____

Company Information _____

SEC Registration No. PW00000834
Company Name ROXAS AND COMPANY, INC.
Industry Classification
Company Type Stock Corporation

Document Information _____

Document ID 103292016001758
Document Type GENERAL INFORMATION SHEET
Document Code GIS
Period Covered March 09, 2016
No. of Days Late 0
Department CED/CFD/CRMD/MRD
Remarks

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2016**

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS TOGETHER WITH AN AFFIDAVIT OF NON-HOLDING OF MEETING WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE SCHEDULED ANNUAL MEETING (AS PROVIDED IN THE BY-LAWS). HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC. (formerly CADP GROUP CORPORATION)		DATE REGISTERED: 10/7/1918
BUSINESS/TRADE NAME:		FISCAL YEAR END: 30 SEPTEMBER
SEC REGISTRATION NUMBER: 834		CORPORATE TAX IDENTIFICATION NUMBER (TIN): 000-269-435-000
DATE OF ANNUAL MEETING PER BY-LAWS: 4th Wednesday of February		WEBSITE/URL ADDRESS: www.roxascompany.com.ph
ACTUAL DATE OF ANNUAL MEETING: 09 March 2016		E-MAIL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: 7F CACHO-GONZALES BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY		FAX NUMBER: 750-2169
COMPLETE BUSINESS ADDRESS:		TELEPHONE NUMBER(S): 8108901 to 06
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: REYES TACANDONG & CO. / Mr. PROTACIO T. TACANDONG	SEC ACCREDITATION NUMBER (if applicable): 0078-AR-1	GEOGRAPHICAL CODE: NONE
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: HOLDING AND INVESTMENT COMPANY	INDUSTRY CLASSIFICATION: NONE	

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
PLEASE SEE ATTACHED SHEET		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

Subsidiary/Affiliate	SEC Registration No.	Address
Roxaco Land Corporation	150570	7F CGB, 101 Aguirre St., Legaspi Village, Makati City
Roxas Green Energy Corporation	CS201509364	7F CGB, 101 Aguirre St., Legaspi Village, Makati City
United Ventures Corporation	48146	Nasugbu, Batangas
Nasugbu Feeds Corporation	A200206483	7F CGB, 101 Aguirre St., Legaspi Village, Makati City
Roxas Holdings, Inc.	PW-15	6F CGB, 101 Aguirre St., Legaspi Village, Makati City
SAMG Management and Services, Inc.	CS201200109	Barangay Pantalan, Nasugbu, Batangas
Roxaco Commercial Properties Corporation	A19990497	7F CGB, 101 Aguirre St., Legaspi Village, Makati City
Fuego Hotels and Properties Management Corporation	CS200404222	15 th Floor, 139 Corporate Center Bldg., Valero St., Salcedo Village Makati City
Roxaco Vanguard Hotels Corporation	CS201019887	Unit 2A 18 th Floor Robinsons Cybergate Center Tower 3, Pioneer St., Mandaluyong City
Roxaco-ACM Development Corporation	A199722413	4 th / 5 th Floor, CSJ Bldg., 105 Aguirre St., Legaspi Village, Makati City
Fuego Development Corporation	A199712315	3 rd Floor, Centermall Bldg., Pres. Ave., BF Homes Sucat, Paranaque
Fuego Land Corporation	A200116686	3 rd Floor, Centermall Bldg., Pres. Ave., BF Homes Sucat, Paranaque
Club Punta Fuego, Inc.	A199723464	Balaytigue, Nasugbu, Batangas

GENERAL INFORMATION SHEET

STOCK CORPORATION

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Corporate Name:

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

YES

NO

Please check the appropriate box:

1.

- a. Banks
- b. Offshore Banking Units
- c. Quasi-Banks
- d. Trust Entities
- e. Non-Stock Savings and Loan Associations
- f. Pawnshops
- g. Foreign Exchange Dealers
- h. Money Changers
- i. Remittance Agents
- j. Electronic Money Issuers
- k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- a. Insurance Companies
- b. Insurance Agents
- c. Insurance Brokers
- d. Professional Reinsurers
- e. Reinsurance Brokers
- f. Holding Companies
- g. Holding Company Systems
- h. Pre-need Companies
- i. Mutual Benefit Association
- j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- a. Securities Dealers
- b. Securities Brokers
- c. Securities Salesman
- d. Investment Houses
- e. Investment Agents and Consultants
- f. Trading Advisors
- g. Other entities managing Securities or rendering similar services
- h. Mutual Funds or Open-end Investment Companies
- i. Close-end Investment Companies
- j. Common Trust Funds or Issuers and other similar entities
- k. Transfer Companies and other similar entities
- l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- m. Entities administering or otherwise dealing in valuable objects
- n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- a. acting as a formation agent of juridical persons
- b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons

- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

- d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- a. managing of client money, securities or other assets
- b. management of bank, savings or securities accounts
- c. organization of contributions for the creation, operation or management of companies
- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. None of the above

Describe nature of business:

HOLDING AND INVESTMENT COMPANY

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

YES

NO

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	3,375,000,000	1.00	3,375,000,000.00
TOTAL		3,375,000,000	TOTAL P	3,375,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,589,296,753	1,973,674,632	1.00	1,589,296,753.00	80.52%
TOTAL			1,589,296,753			1,589,296,753.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS		COMMON	384,377,879	1,973,674,632	1.00	384,377,879.00	19.48%
Percentage of Foreign Equity : 19.48%			TOTAL 384,377,879			384,377,879.00	100.00%
TOTAL SUBSCRIBED P						1,973,674,632.00	

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	1,589,296,753	1.00	1,589,296,753.00	80.52%
VARIOUS						0.00%
TOTAL			1,589,296,753	TOTAL P	1,589,296,753.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS		COMMON	384,377,879	1.00	384,377,879.00	19.48%
TOTAL			384,377,879	TOTAL P	384,377,879.00	
TOTAL PAID-UP P					1,973,674,632.00	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.

DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS and FOREIGNERS
1. PEDRO E. ROXAS/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	C	M	Y	Chairperson / Pres. & CEO	C/N	104-738-165
2. ANTONIO J. ROXAS/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	M	Y	N/A	NA	104-738-157
3. CARLOS ANTONIO R. ELIZALDE/FILIPINO 1701 THE PEAK 107 LEVISTE ST. SALCEDO VILL.	N	M	M	Y	N/A	N	119-814-785
4. FRANCISCO JOSE R. ELIZALDE/FILIPINO 1701 THE PEAK 107 LEVISTE ST. SALCEDO VILL.	N	M	M	Y	N/A	A	119-132-731
5. GUILLERMO D. LUCHANGCO/FILIPINO 1148 TAMARIND ROAD, DASMARINAS VILLAGE,	N	I	M	Y	N/A	C	106-185-062
6. CORAZON S. DE LA PAZ-BERNARDO 17A MCKINLEY ROAD, FORBES PARK, MAKATI	N	I	F	Y	N/A	C/A/N	112-070-586
7. FERNANDO L. GASPAR 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	M	Y	N/A	A	119-140-936
8. PETER D. BAROT/FILIPINO 19/F LIBERTY CENTER, 104 H.V. DELA COSTA ST.	N	N/A	M	N	CORP. SEC.	N/A	107-478-120
9. ARMANDO B. ESCOBAR/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	M	N	EVP-CFO/ Treas./ Risk Mgt. Ofcr.	N/A	109-203-427
10. ALEZANDRO S. CASABAR/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL.,	N	N/A	M	N	Asst. Corp. Sec./CIO/CO	N/A	224-451-024
11. ---- nothing follows ----							
12.							

INSTRUCTIONS:
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME: ROXAS AND COMPANY, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 3,384			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3,138			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P7,034,981,537.00 (As of 30 September 2015)						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS and FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
1. SPCI Holdings, Inc. FILIPINO Unit 1701, The Peak, 107 Levistre Street Legaspi Village, Makati City	C	642,779,593	642,779,593.00	32.57%	642,779,593.00	004-774-849
	TOTAL	642,779,593	642,779,593.00			
2. PESAN HOLDINGS, INC. FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL. MAKATI	C	530,130,888	530,130,888.00	26.86%	530,130,888.00	221-188-467
	TOTAL	530,130,888	530,130,888.00			
3. CISCO Holdings, Inc. FILIPINO Unit 1701, The Peak, 107 Levistre Street Legaspi Village, Makati City	C	112,500,000	112,500,000.00	5.70%	112,500,000.00	009-082-716
	TOTAL	112,500,000	112,500,000.00			
4. CRE Holdings, Inc. FILIPINO Unit 1701, The Peak, 107 Levistre Street Legaspi Village, Makati City	C	112,500,000	112,500,000.00	5.70%	112,500,000.00	009-072-433
	TOTAL	112,500,000	112,500,000.00			
5. INIGO Holdings, Inc. FILIPINO Unit 1701, The Peak, 107 Levistre Street Legaspi Village, Makati City	C	112,500,000	112,500,000.00	5.70%	112,500,000.00	009-082-724
	TOTAL	112,500,000	112,500,000.00			
6. SRE Holdings, Inc. FILIPINO Unit 1701, The Peak, 107 Levistre Street Legaspi Village, Makati City	C	112,500,000	112,500,000.00	5.70%	112,500,000.00	009-072-440
	TOTAL	112,500,000	112,500,000.00			
7. PCD Nominee Corporation FILIPINO 37F Tower I, Enterprise Center, Ayala Avenue Makati City	C	76,444,432	76,444,432.00	3.88%	76,444,432.00	004-774-849
	TOTAL	76,444,432	76,444,432.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME: ROXAS AND COMPANY, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 3,384			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3,138			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P7,034,981,537.00 (As of 30 September 2015)						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS and FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. ANTONIO J. ROXAS FILIPINO Unit 1701, The Peak, 107 Levistre Street Legaspi Village, Makati City	C	50,030,659	50,030,659.00	2.53%	50,030,659.00	104-738-157
	TOTAL	50,030,659	50,030,659.00			
9. Rizal Commercial Banking FILIPINO 333 SEN. GIL PUYAT AVE. MAKATI CITY	C	3,048,161	3,048,161.00	0.15%	3,048,161.00	049-000-599-760
	TOTAL	3,048,161	3,048,161.00			
10. PEDRO E. ROXAS FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	C	2,969,690	2,969,690.00	0.15%	2,969,690.00	104-738-165
	TOTAL	2,969,690	2,969,690.00			
11. ANTONIO ROXAS CHUA FILIPINO 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	C	2,379,610	2,379,610.00	0.12%	2,379,610.00	DECEASED
	TOTAL	2,379,610	2,379,610.00			
12. MARI CARMEN ROXAS DE ELIZALDE FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI	C	1,361,241	1,361,241.00	0.07%	1,361,241.00	134-210-848
	TOTAL					
13. FRANCISCO JOSE R. ELIZALDE FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	C	1,233,675	1,233,675.00	0.06%	1,233,675.00	119-132-731
	TOTAL	1,233,675	1,233,675.00			
14. CARLOS R. ELIZALDE FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	C	1,220,858	1,220,858.00	0.06%	1,220,858.00	119-814-785
	TOTAL	1,220,858	1,220,858.00			
15. SANTIAGO R. ELIZALDE FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI	C	1,210,930	1,210,930.00	0.06%	1,210,930.00	100-349-993
	TOTAL					
TOTAL						
HOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

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CORPORATE NAME: ROXAS AND COMPANY, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 3,384			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 3,138			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P7,034,981,537.00 (As of 30 September 2015)						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS and FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
16. CENTRAL AZUCARERA DELA CARLOTA RETIREMENT TRUST FUND PHILIPPINE NATIONAL 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	C	1,178,400	1,178,400.00	0.06%	1,178,400.00	246-316-829
	TOTAL	1,178,400	1,178,400.00			
17. EQUITABLE SECURITIES FAO INIGO ELIZALDE FILIPINO PHILIPPINE NATIONAL 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	C	933,810	933,810.00	0.05%	933,810.00	NOT ON FILE
	TOTAL	933,810	933,810.00			
18. SEVERO A. TUASON & COMPANY, INC. FILIPINO 14-B CORPORATE, AND AVENUE ORTIGAS AVENUE, PASIG CITY	C	537,000	537,000.00	0.03%	537,000.00	NOT ON FILE
	TOTAL	537,000	537,000.00			
19. DOLORES TEUS DE M. VARA DE REY FILIPINO THE CHASE MANHATTAN BANK, 1 CHASE MANHATTAN, NEW YORK	C	488,020	488,020.00	0.02%	488,020.00	NOT ON FILE
	TOTAL	488,020	488,020.00			
20. CONCEPCION TEUS VDA. DE M. VARA DE REY FILIPINO BANKERS TRUST COMPANY, 16 WALL STREET NEW YORK, USA	C	445,650	445,650.00	0.02%	445,650.00	NOT ON FILE
	TOTAL	445,650	445,650.00			
OTHERS	C	207,262,018	207,262,018.00	10.50%	207,262,018.00	
	TOTAL	207,262,018	207,262,018.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			1,973,674,632.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					1,973,674,632.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ROXAS AND COMPANY, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A			
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	938,211,238	32.22%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: P689,212,154.00			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH			
5.2 CASH	NONE		
5.3 PROPERTY	NONE		
TOTAL	0.00		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
	NONE		
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Sell Securities		
DATE ISSUED:	3/16/1994		
DATE STARTED OPERATIONS:	10/17/1918		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
P1,325,000.00	4	6	11

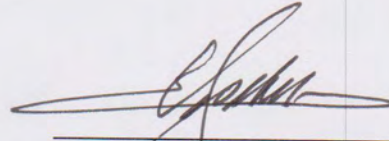
NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, ALEZANDRO S. CASABAR, Assistant Corporate Secretary OF THE ABOVE-MENTIONED
(NAME) (POSITION)

CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF (10) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

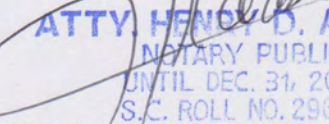
DONE THIS 14th DAY OF MARCH, 2016 IN MAKATI CITY.



(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY/PROVINCE, PHILIPPINES ON **MAR 29 2016**
2016, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS INTEGRATED BAR OF THE PHILIPPINES ROLL OF ATTORNEYS NO. 55665 WITH LIFETIME MEMBERSHIP NO. 07519 ISSUED 11 APRIL 2008.

DOC. NO: 57 :
PAGE NO. 13 :
BOOK NO. IV :
SERIES OF 2016 :



ATTY. HENRY D. ADASA
NOTARY PUBLIC
UNTIL DEC. 31, 2016
S.C. ROLL NO. 29679
1852 SAN MARCELINO ST. MALATE MLA
IBP NO. 9395451 01/04/2010 Z.N.
PTR NO. 4915147 01/04/2016 MLA.
MCLE COMPLIANCE NO. III-0023245

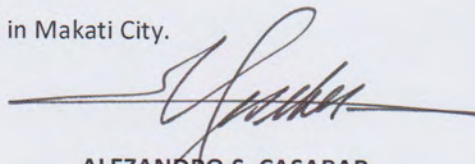
REPUBLIC OF THE PHILIPPINES)
MAKATI CITY) S.S.

UNDERTAKING

I, **ALEZANDRO S. CASABAR**, Filipino, of legal age, and with office address at 7F Cacho-Gonzales Building, 101 Aguirre Street, Legaspi Village, 1229 Makati City, after having been duly sworn to in accordance with law, hereby certify as follows:

1. I am the Assistant Corporate Secretary and Compliance Officer of **ROXAS AND COMPANY, INC.** (the "Corporation"), a corporation duly organized and existing under the laws of the Philippines, with principal office located at 7th Floor, Cacho-Gonzales Building, 101 Aguirre Street, Legaspi Village, 1229 Makati City [the "Corporation"].
2. As the Assistant Corporate Secretary, I have custody of and access to the corporate records of the Corporation.
3. Part of my duties and responsibilities as Assistant Corporate Secretary is the preparation and filing of the Corporation's General Information Sheet ("GIS") which is submitted to the Securities and Exchange Commission ("SEC").
4. One of the information that is required to be stated in the GIS is the Tax Identification Numbers ("TIN") of the Corporation's Top 20 stockholders.
5. As the corporation is a publicly-listed company and its shares are regularly traded in the Philippine Stock Exchange ("PSE"), the undersigned does not have personal knowledge and information about or record of the TIN of all entities and individuals who trade the Corporation's shares in the PSE.
6. Hence, the GIS for the year 2016 does not contain the TIN of some of the Top 20 Stockholders of the Corporation as said information could not be found in the stockholders' records.
7. In any event, the undersigned undertakes to exert reasonable effort to obtain the TIN of the stockholders whose TIN are not stated in the GIS for 2016 and to file with the SEC an amended GIS as soon as the said TINs are obtained.

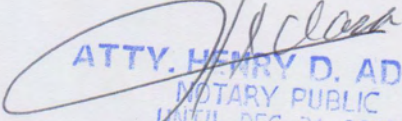
Witness my hand on 28th March 2016 in Makati City.



ALEZANDRO S. CASABAR
Assistant Corporate Secretary

SUBSCRIBED AND SWORN TO before me this MAR 29 2016 2016 in Makati City, Metro Manila, affiant Alejandro S. Casabar exhibiting to me his Integrated Bar of the Philippines Roll of Attorneys No. 55665 with Lifetime Membership No. 07519 issued 11 April 2008.

Doc. No. 16;
Page No. 13;
Book No. 18;
Series of 2016.


ATTY. HENRY D. ADASA
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